### PATENT APPLICATION

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

SUZUKI, Takako, et al.

Attorney Docket No.: Q67844

Divisional of Appln. No.: 09/322,978

Group Art Unit: 1752

Confirmation No.: Unknown

Examiner: Unknown

Filed: February 28, 2002

For: POSITIVE PHOTORESIST COMPOSITION AND PROCESS FOR FORMING

RESIST PATTERN USING SAME

#### PRELIMINARY AMENDMENT

Commissioner for Patents Washington, D.C. 20231

Sir:

Prior to examination, please amend the above-identified application as

follows:

#### IN THE SPECIFICATION:

Amend the specification by inserting before the first line the sentence:

This is a divisional of Application No. 09/322,978 filed June 1, 1999, allowed; the disclosure of which is incorporated herein by reference.

Please amend the specification as follows:

Replace the 2<sup>nd</sup> paragraph of Page 1 with the following paragraph:

Positive photoresist compositions comprising an alkali-soluble resin and a quinonediazide ester have been satisfactorily applied in practice for the production of semiconductor devices and liquid-crystal display devices, since they have excellent definition, sensitivity and etching resistance.

Replace the paragraph bridging pages 8-9 with the following paragraph:

FIG. 1 illustrates a developing process and locations for the evaluation of sensitivity on resist patterns in the examples of the invention;

### IN THE CLAIMS:

Please cancel claim 5 without prejudice or disclaimer.

Please enter the following amended claims:

Please add the following new claims:

- 7 (new). A positive photoresist composition comprising
- (A) an alkali soluble resin,
- (B) a photosensitizer containing a quinonediazide ester of a compound of the following formula (I):

wherein each of R1 and R2 is independently a methyl group or an ethyl group, and

(C) at least one compound of phenol group-containing compounds having structural formula (C2) and having an elution time in the range from 6 to 30 minutes in high performance liquid chromatography, said high performance liquid chromatography being conducted under the following conditions: eluent: a mixed solvent of water:tetrahydrofuran:methanol = 40:24:36 (by weight); column: 4.6 mm (diameter) x 150 mm (length) containing 5 µm silica gel as a filler (carbon content being about 15%); column temperature: 45.0°C; and supply rate of eluent: 0.700 ml/min

8 (new). The composition according to claim 7, wherein said compound represented by the formula (I) is a compound of the following formula (Ia):

9 (new). The composition according to claim 7, wherein said compound represented by the formula (I) is a compound of the following formula (Ib):

10 (new). The composition according to claim 7, wherein the content of Ingredient (C) ranges from 5% to 50% by weight relative to Ingredient (A).

- 11 (new). A process for forming a resist pattern comprising the steps of:
- (1) coating the positive photoresist composition of claim 7 onto a substrate having a diameter ranging from 8 to 12 inches, and drying the coated substrate to form a resist film,
  - (2) subjecting said resist film to selective exposure though a mask,
  - (3) heating said resist film, and
- (4) removing the resist film at exposed positions by an aqueous alkali solution.
  - 12 (new). A positive photoresist composition comprising
  - (A) an alkali soluble resin,

(B) a photosensitizer containing a quinonediazide ester of a compound of the following formula (I):

wherein each of R1 and R2 is independently a methyl group or an ethyl group, and

(C) at least one compound of phenol group-containing compounds having structure formula (C3) and having an elution time in the range from 6 to 30 minutes in high performance liquid chromatography, said high performance liquid chromatography being conducted under the following conditions: eluent: a mixed solvent of water:tetrahydrofuran:methanol = 40:24:36 (by weight); column: 4.6 mm (diameter) x 150 mm (length) containing 5 μm silica gel as a filler (carbon content being about 15%); column temperature: 45.0°C; and supply rate of eluent: 0.700 ml/min

13 (new). The composition according to claim 12, wherein said compound represented by the formula (I) is a compound of the following formula (Ia):

14 (new). The composition according to claim 12, wherein said compound represented by the formula (I) is a compound of the following formula (Ib):

15 (new). The composition according to claim 12, wherein the content of Ingredient (C) ranges from 5% to 50% by weight relative to Ingredient (A).

16 (new). A process for forming a resist pattern comprising the steps of:

- (1) coating the positive photoresist composition of claim 12 onto a substrate having a diameter ranging from 8 to 12 inches, and drying the coated substrate to form a resist film,
  - (2) subjecting said resist film to selective exposure though a mask,

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- (3) heating said resist film, and
- (4) removing the resist film at exposed positions by an aqueous alkali solution.
  - 17 (new). A positive photoresist composition comprising
  - (A) an alkali soluble resin,
- (B) a photosensitizer containing quinonediazide ester of a compound of the following formula (I):

wherein each of R<sup>1</sup> and R<sup>2</sup> is independently a methyl group or an ethyl group, and

(C) at least one compound of phenol group-containing compounds having structural formula (C4) and having an elution time in the range from 6 to 30 minutes in high performance liquid chromatography, said high performance liquid chromatography being conducted under the following conditions: eluent: a mixed solvent of water:tetrahydrofuran:methanol = 40:24:36 (by weight); column: 4.6 mm (diameter) x 150 mm (length) containing 5 μm silica gel as a filler (carbon content being about 15%); column temperature: 45.0°C; and supply rate of eluent: 0.700 ml/min.

18 (new). The composition according to claim 17, wherein said compound represented by the formula (I) is a compound of the following formula (Ia):

19 (new). The composition according to claim 17, wherein said compound represented by the formula (I) is a compound of the following formula (Ib):

- 21 (new). A process for forming a resist pattern comprising the steps of:
- (1) coating the positive photoresist composition of claim 17 onto a substrate having a diameter ranging from 8 to 12 inches, and drying the coated substrate to form a resist film,
  - (2) subjecting said resist film to selective exposure though a mask,
  - (3) heating said resist film, and
- (4) removing the resist film at exposed positions by an aqueous alkali solution.

### **REMARKS**

Entry and consideration of this Amendment is respectfully requested.

Additionally, filed concurrently herewith is a sworn translation of the foreign priority document.

Respectfully submitted,

SUGHRUE MION, PLLC 2100 Pennsylvania Avenue, N.W. Washington, D.C. 20037-3213

Telephone: (202) 293-7060 Facsimile: (202) 293-7860

Date: February 28, 2002

Lee C. Wright

Registration No. 41,441

#### **APPENDIX**

### VERSION WITH MARKINGS TO SHOW CHANGES MADE

#### **IN THE SPECIFICATION:**

The specification is changed as follows:

Amend the specification by inserting before the first line the sentence:

This is a divisional of Application No. 09/322,978 filed June 1, 1999, allowed; the disclosure of which is incorporated herein by reference.

Page 1, 2<sup>nd</sup> paragraph, is changed as follows:

Positive photoresist compositions comprising an alkali-soluble resin and a quinonediazide ester have been satisfactorily applied in [practical to] <u>practice for</u> the production of semiconductor devices and liquid-crystal display devices, since they have excellent definition, sensitivity and etching resistance.

The paragraph bridging pages 8-9 is changed as follows:

FIG. 1 illustrates a developing process and locations for the evaluation of sensitivity on resist [patterns] in the examples of the invention;

### IN THE CLAIMS:

Claim 5 is canceled.

The claims are amended as follows:

1 (Amended). A positive photoresist composition comprising

- (A) an alkali soluble resin,
- (B) a photosensitizer containing a quinonediazide ester of a compound of the following formula (I):

$$\begin{array}{c|ccccc} OH & R^2 & R^2 & OH \\ \hline \\ HO & R^2 & R^2 & OH \\ \hline \\ R^1 & R^2 & R^2 & R^1 \end{array}$$

wherein each of R1 and R2 is independently a methyl group or an ethyl group, and

(C) at least one compound of phenol group-containing compounds having structural formula (C1) and having an elution time in the range from 6 to 30 minutes in high performance liquid chromatography, said high performance liquid chromatography being conducted under the following conditions: eluent: a mixed solvent of water:tetrahydrofuran:methanol = 40:24:36 (by weight); column: 4.6 mm (diameter) x 150 mm (length) containing 5 μm silica gel as a filler (carbon content being about 15%); column temperature: 45.0°C; and supply rate of eluent: 0.700 ml/min

Claims 7-21 are added as new claims.